

UNIVERSITY OF CALIFORNIA
OFFICE OF THE CHIEF INVESTMENT OFFICER
1111 BROADWAY, SUITE 1400
OAKLAND, CA 94607-9828



SECURITY DELIVERY INSTRUCTIONS – UC Natural Reserve System

NOTE: These Security Delivery Instructions are for NRS use. All information and/or questions from the donor or the donor's broker should be directed to the individual NRS contact. If there are questions or issues arise, please contact Floyd Gazaway at (510) 987-9684.

Please contact Floyd before making a commitment to the donor regarding any mutual funds or foreign transfers so that it can be determined whether the gift can be accepted. **Transfers of mutual funds will not be successful if a Regents account is not already established or pre-established with the mutual fund company.**

In order for the Office of the CIO to sell any gift, we must have the following information: (1) **Security name**; (2) **Number of shares**; (3) **Donor's name**; and (4) **Allocation**. After this information is received from the campus and the security is received from State Street, a gift notice will be sent to the campus within 48 hours.

DEPOSITORY TRUST (DTC) (Preferred method)

Fax notice to Floyd Gazaway at (510) 987-9685 OR call at (510) 987-9684 prior to initiating transfer.

Bank: State Street Bank & Trust Co
DTC Participant Number: 0997
Agent Bank Number: 26022
Institutional FINS Number: 35710
Account Number: QP10
Account Name: Regents of UC
NRS Reserve Name/System _____ **Donor** _____

PHYSICAL

Register or endorse all physical securities into the Regents' of UC nominee name:

Shellwater & Co.
FBO: Regents of UC

Donor's endorsement on physical certificate or stock power must be signature medallion guaranteed.

Mail certificate to:

DTCC New York Window
55 Water Street
New York, New York 10041
Reference: Account# QP10
Account Name: Regents of UC
ATTN: Robert Mendez/State Street
Ref: Travis Calabio 916-319-6833
NRS Reserve Name/System _____ **Donor** _____

Contact Information:

Travis Calabio 916-319-6833
[Alameda UCRegents@statestreet.com](mailto:Alameda_UCRegents@statestreet.com)

SECURITY DELIVERY INSTRUCTIONS (continued)

WIRE TRANSFERS (\$\$)

Fax notice to Floyd Gazaway at (510) 987-9685 OR call at (510) 987-9684 prior to initiating transfer.

Beneficiary: The Regents of the University of California

Bank: State Street Bank & Trust Co., Boston

ABA/Transit Routing: 011000028

Account Number: 56953862

Further Ref: QP10/

Attn: Travis Calabio 916-319-6833

NRS Reserve Name/System _____ **Donor** _____